

Jc003 U.S. PTO



06/28/00

Asst. Commissioner of Patents  
Washington, D.C. 20231IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
REQUEST FOR FILING NATIONAL PATENT APPLICATION

Under 35 USC 111(a) and Rule 53(b)

PATENT APPLICATION

WITH SIGNED DECLARATION

NONPROVISIONAL  
NON REISSUE  
NON PCT NAT PHASE

Sir:

Herewith is the PATENT APPLICATION of  
Inventor(s): SINGH et al.

Title CAPPING LAYER FOR EUV OPTICAL ELEMENTS

Atty. Dkt.: PM 271437  
M#P-0150.030-US  
Client RefJc051 U.S. PTO  
09/605657  
05/28/00

including:

Date: June 28, 2000

1. Specification: 27 pages (only spec. and claims) 2. ☐ Specification in non-English language
3. Declaration ☒ Original ☐ Facsimile/Copy ☒ Abstract 1 page(s); 19 numbered claims
4. ☒ Drawings: 7 sheet(s) ☐ informal; ☒ formal of size: ☒ A4 ☐ 11"
5. ☐ See top first page re prior Provisional, National or International application(s). ("X" box only if info is there and do not complete corresponding item 5 or 6). (Prior M# \_\_\_\_\_ SN \_\_\_\_\_)
6. AMEND the specification please by inserting before the first line: -- This is a ☐ Continuation-in-Part  
☐ Divisional ☐ Continuation ☐ Substitute Application (MPEP 201.09) of:  
6(a) ☐ National Appln. No. \_\_\_\_\_ / \_\_\_\_\_ filed \_\_\_\_\_ (M# \_\_\_\_\_)  
6(b) ☐ International Appln. No. \_\_\_\_\_ filed \_\_\_\_\_
7. ☐ AMEND the specification by inserting before the first line: -- This application claims the benefit of U.S. Provisional Application No. 60/ \_\_\_\_\_, filed \_\_\_\_\_.
8. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.
9. ☐ Prior application is assigned to \_\_\_\_\_

by Assignment recorded \_\_\_\_\_ Reel \_\_\_\_\_ Frame \_\_\_\_\_

10. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in EUROPE (country)

Application No.	Filing Date	Application No.	Filing Date
(1) 99305283.6	July 2, 1999	(2) 99307932.6	October 7, 1999
(3)		(4)	
(5)		(6)	
(7)		(8)	
(9)		(10)	

12. 2 (No.) Certified copy (copies): ☒ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ / \_\_\_\_\_ filed on \_\_\_\_\_

13. ☐ Attached: \_\_\_\_\_ (No.) Verified Statement(s) establishing "small entity" status under Rules 9 & 27.
14. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1)		(4)	
(2)		(5)	
(3)		(6)	

15. ☐ This application is being filed under Rule 53(b)(2) since an inventor is named in the enclosed Declaration who was not named in the prior application.

16. ☒ Attached: Preliminary Amendment

17. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
18. Basic Filing Fee				\$690/\$345	\$690	101/201
19. Total Effective Claims	37	minus 20 =	*17	x \$18/\$9 =	+ 306	103/203
20. Independent Claims	2	minus 3 =	*0	x \$78/\$39 =	+ 0	102/202
*If answer is zero or less, enter "0"						
21. If any proper multiple dependent claim (ignore improper) is present, add (Leave this line blank if this is a reissue application)				+ \$260/\$130	+ 260	104/204
22. TOTAL FILING FEE ENCLOSED =					\$1256	
23. If "non-English" box 2 is X'd, add Rule 17(k) processing fee				+ \$130	+ 0	139
24. If "assignment" box 8 is X'd, add recording fee				+ \$40	+ 40	581
25. <input type="checkbox"/> Attached is a Petition/Fee under Rule No.				+ \$130	+ 0	122
26. TOTAL FEE ENCLOSED =					\$1296	

Our Deposit Account No. 03-3975

Our Order No. 81468 C# 271437 M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown above for which purpose a duplicate copy of this sheet is attached.

**This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.**

**Pillsbury Madison & Sutro LLP**  
Intellectual Property Group

1100 New York Avenue, NW  
Ninth Floor  
Washington, DC 20005-3918  
Tel: (202) 861-3000  
JSB/nlh

By Atty: Jack S. Barufka

Sig:

Reg. No. 37087

Fax: (202) 822-0944  
Tel: (202) 861-3794

NOTE: File in duplicate with 2 post card receipts (PAT-103) & attachments

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

07/07/2000 MVILLARI 00000034 09605657

01 FC:101

690.00 OP

Repln. Ref: 07/07/2000 MVILLARI 0011505700  
DAW:033975 Name/Number:09605657  
FC: 704 \$566.00 CR

PTO-1556  
(5/87)